



**PATENT**  
Atty Docket No.: 393325  
Express Mail Label No.: EV 008780404 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Augustin T. Chen, et al.

Group No.: 1713

Serial No.: 09/885,198

Examiner: Not Assigned

Filed: June 20, 2001

#3  
02/21/02  
AS

For: Adhesive Compositions

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TC 1700

Box DD  
Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**List of Sections Forming Part of This Information Disclosure Statement.**

The following sections are being submitted for this Information Disclosure Statement:  
(check sections forming a part of this statement: discard unused sections and number pages consecutively)

1.  Preliminary Statements
2.  FORM PTO-1449 (Modified)
3.  Statement as to Information Not Found in Patents or Publications

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**CERTIFICATE UNDER 37 C.F.R. § 1.8 and § 1.10**

I hereby certify that, on the date shown below, this correspondence is being

deposited with the United States Postal Service in an envelope addressed to Box DD, Commissioner for Patents, Washington, D.C. 20231 with sufficient postage:

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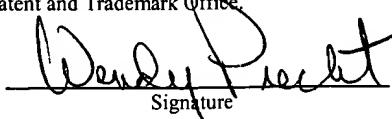
**37 C.F.R. § 1.8**  
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**37 C.F.R. § 1.10**  
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**FACSIMILE TRANSMISSION**

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Wendy Precht  
Name of Depositor

  
Signature

February 7, 2002  
Date

**PATENT**

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Express Mail Label No.: EV 008780404 US

4.  Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5.  Cumulative Patents or Publications
6.  Copies of Listed Information Items Accompanying This Statement
7.  Concise Explanation of Non-English Language Listed Information Items
  - 7A.  EPO Search Report
  - 7B.  English Language Version of EPO Search Report
8.  Translation(s) of Non-English Language Documents
9.  Identification of Person(s) Making This Information Disclosure Statement

### **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January, 1992, 1135 O.G. 13-25, at 25.

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement herein. Applicant is enclosing a copy of the PCT International Search Report dated 17 January 2002. Thus, no fee is due.

### **Section 2. Form PTO-1449 (Modified)**

- A completed Form PTO-1449 (Modified) is attached hereto.
- No Form PTO-1449 (Modified) is attached.

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**Section 3. Statements as to Information Not Found in Patents or Publications (Information not listed in Form PTO 1449)**

- Not Applicable
- Attached.

**Section 4. Identification of Prior Application In Which Listed Information Was Already Cited and for Which No Copies Are Submitted Or Need Be Submitted**

- Not Applicable
- This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior patent application serial no. \_\_\_\_\_, filed \_\_\_\_\_. The below listed references were submitted to and/or cited by the Office in this prior application. Therefore, copies are not submitted herewith.

**Section 5. Cumulative Patents or Publications**

- Not Applicable
- \_\_\_\_\_ is cumulative of the following patents or publications listed on Form PTO-1449 (modified):
- In accordance with 37 C.F.R. § 1.98(c) a copy of only \_\_\_\_\_ is being submitted with this information disclosure statement.

**Section 6. Copies of Listed Information Items Accompanying this Statement**

- Legible copies of all items listed in Form PTO-1449 (Modified) accompany this information disclosure statement are enclosed.
- Exception(s) to above:
  - Items in prior application from which an earlier filing date is claimed for this application, as identified in Section 4.
  - Cumulative patents or publications identified in Section 5.

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**Section 7. Concise Explanation of Non-English Language Listed Information Items**

**(Note: Where information was cited in a search report by a foreign patent officer, this requirement is met by submitting an English language version of the search report. This requirement is not met by a statement that the reference was cited in the prosecution of a patent application.)**

- Not Applicable
- Attached

**Section 8. Translation(s) of Non-English Language Documents**

- Not Applicable
- Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications, or information considered to be material:
- No English language translations of the foreign language patents, publications, or information or parts thereof are readily available, except for those listed above.
- The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

**Section 9. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT**

The person making this statement is the attorney who signs below on the basis of the information:

- supplied by the inventor(s).
- supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)).
- in the attorney's file.

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Respectfully submitted,

LATHROP & GAGE L.C.

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Attorney of Record



02-11-02

GP 1713

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Augustin T. Chen, et al.

Matter No.

393325

Serial No.

Filing Date

Examiner

Group Art Unit

09/885,198

June 20, 2001

Not Assigned

1713

Invention: Adhesive Compounds

I hereby certify that this Transmittal Form (1 page); Supplemental Information Disclosure Statement (5 pages); PCT International Search Report (3 pages); Form 1449 (1 page); 2 Cited References; authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 12-0600; and return post card are being mailed in an envelope addressed to: Box DD, Commissioner for Patents, Washington, D.C. 20231 on this 7th day of February, 2002.

Wendy Precht

Name of Depositor

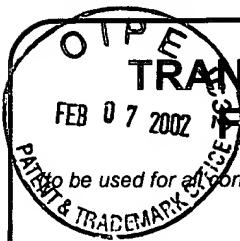
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TRANSMITTAL  
FORM  
*(This form is to be used for all correspondence after initial filing)*

Total Number of Pages in This Submission

Application Number	09/885,198
Filing Date	June 20, 2001
First Named Inventor	Augustin T. Chen
Group Art Unit	1713
Examiner Name	Not Assigned

Attorney Docket Number

393325

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>PCT International Search Report, Form 1449, Copies of 2 References, Certificate of Mailing and Return Post Card</b>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kenneth D. Goetz LATHROP & GAGE LC
Signature	<i>Kenneth D. Goetz</i>
Date	February 7, 2002

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Typed or printed name	Wendy Precht
Signature	<i>Wendy Precht</i>
Date	February 7, 2002

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